Practical Suggestions for Improving and Empirically Studying Greedy Test Suite Reduction and Prioritization Methods

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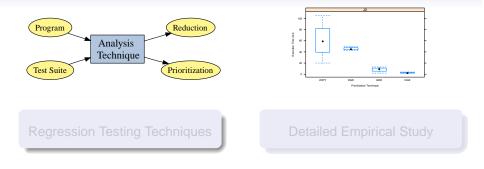
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Department of Computer Science and Technology Nanjing University, November 2009

[†] In Conjunction with Adam M. Smith, Joshua J. Geiger, G. Elisabeta Mara (University of Pittsburgh) Manos Renieris (Google)

Featuring an image from www.CampusBicycle.com

Important Contributions

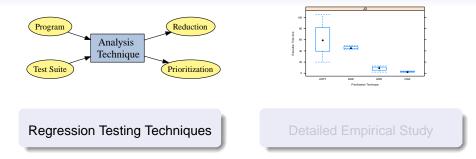


<u>Overview</u>: Implement and evaluate the **efficiency** and **effectiveness** of cost-aware greedy methods for regression test suite **reduction** and **prioritization**

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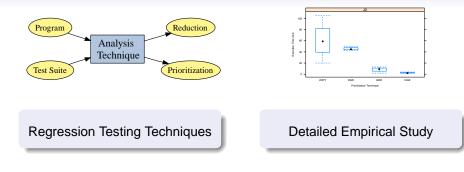
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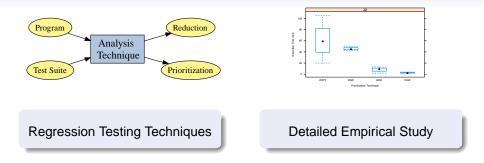
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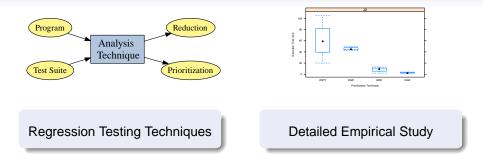
Important Contributions



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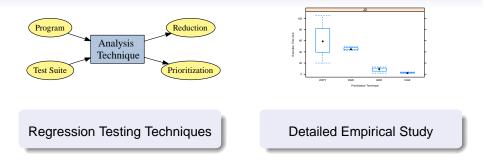


Important Contributions



Experiments: Use automatically generated synthetic test suites and real world case study applications during the empirical study of greedy regression testing methods

Important Contributions



Analysis: Develop and use **tree** and **random forest** statistical models and interactive **visualization** techniques that help to **identify** efficiency and effectiveness **trade-offs** for testing

Regression Testing and Bicycles



Efficiency: Low wind resistance and time to destination

Regression Testing and Bicycles



Effectiveness: Transports all required materials and no break downs

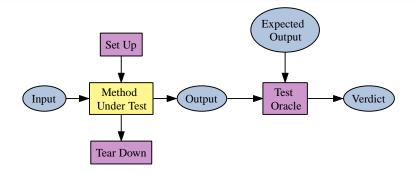
Regression Testing and Bicycles



Cost: Frame material and components cause price to vary considerably

What is a Test Case?

(Regression Testing)



Test suite executor runs each test case independently

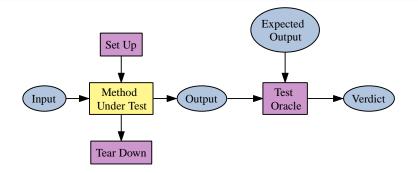
 Each test invokes a method within the program and then compares the actual and expected output values

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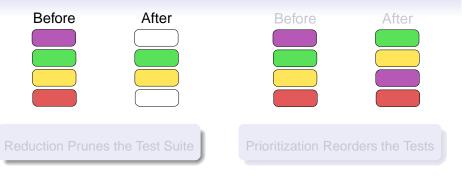


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Regression Testing Techniques



It is **expensive** to run a test suite $T = \langle T_1, ..., T_n \rangle$. **Reduction** discards some of the *n* tests in an attempt to **decrease** testing time while still **preserving** objectives like **coverage** or **fault detection**.

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Regression Testing Techniques



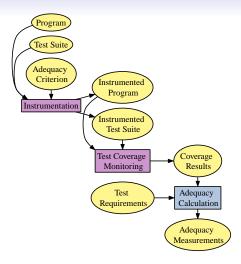
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Regression Testing Techniques



It is **expensive** to run a test suite $T = \langle T_1, ..., T_n \rangle$. **Prioritization** searches through the $n! = n \times n - 1 \times ... \times 1$ orderings for those that **maximize** an objective function like **coverage** or **fault detection**.

Calculating the Coverage of a Test Suite



Calculating Coverage

Use instrumentation probes to **capture** and **analyze** a test suite's coverage of the program state and structure

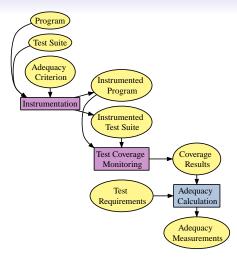
Regression Testing

The coverage results and adequacy measurements can support both test suite reduction and prioritization

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Calculating the Coverage of a Test Suite



Calculating Coverage

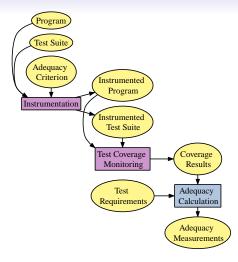
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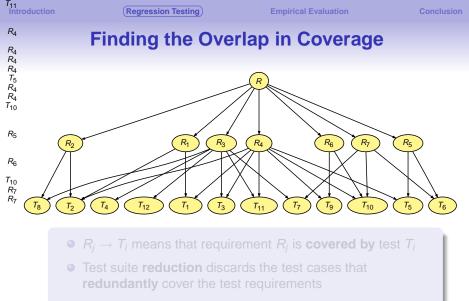


Calculating Coverage

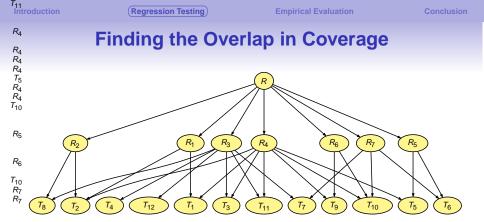
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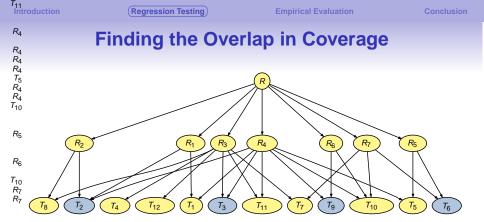
The coverage results and adequacy measurements can support both test suite reduction and prioritization



• $T = \langle T_2, T_3, T_6, T_9 \rangle$ covers all of the test requirements



- $R_j \rightarrow T_i$ means that requirement R_j is **covered by** test T_i
- Test suite reduction discards the test cases that redundantly cover the test requirements
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ts

Γ₄ Γ₃

 T_2

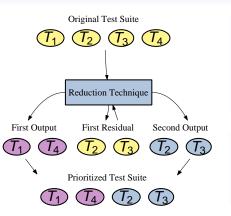
 T_1

Γ₄ Γ₁ Γ₃

 T_2

Г3

 T_2



- Harrold, Gupta, Soffa (HGS)
- Delayed Greedy (DGR)
- Traditional Greedy (GRD)
- 2-Optimal Greedy (2OPT)

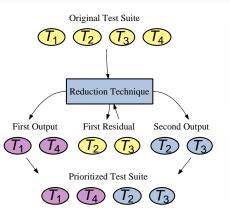
Hypothesis: Using the execution **time** of a test case can **improve** the reduced and prioritized test suites

Compare (i) greedy choices (cost, coverage, and ratio) and (ii) algorithms

(Regression Testing)

Conclusion

Greedy Approaches to Regression Testing



- Harrold, Gupta, Soffa (HGS)
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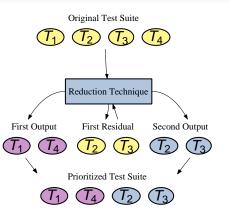
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(Regression Testing)

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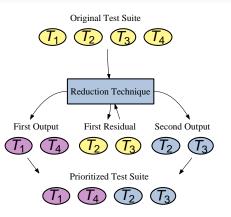
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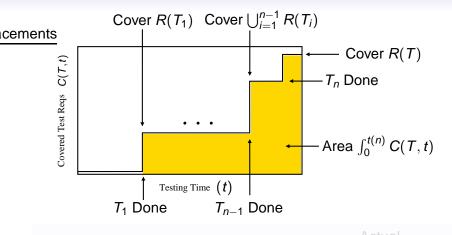


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Evaluating Test Suite Prioritizers

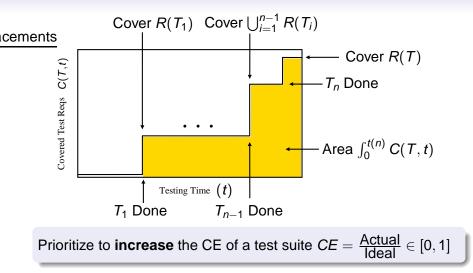


Prioritize to **increase** the CE of a test suite $CE = \frac{AC}{LA}$

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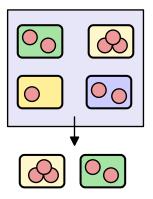
Evaluating Test Suite Prioritizers



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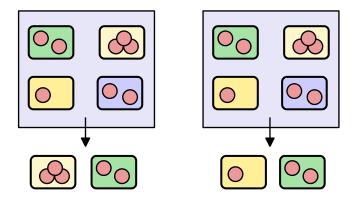
Evaluating Test Suite Reducers



Reduction Factor for Size (RFFS): How small is the reduced test suite?



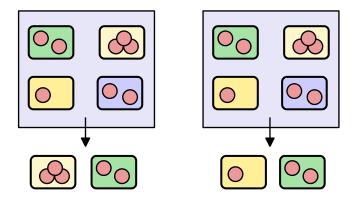
Evaluating Test Suite Reducers



Reduction Factor for Time (RFFT): How fast is the reduced test suite?



Evaluating Test Suite Reducers



Common Rate (CR): How similar are differently reduced test suites?



	R_1	R_2	R_3	R_4	R_5	Execution Time
T_1	\checkmark	\checkmark	\checkmark	\checkmark		4
<i>T</i> ₂			\checkmark	\checkmark		1
<i>T</i> ₃		\checkmark				1
<i>T</i> ₄	\checkmark				\checkmark	1

Greedy-by	Tr	$time(T_r)$	T_p	CE
coverage	$\langle T_1, T_4 \rangle$	5	$\langle T_1, T_4, T_2, T_3 \rangle$	0.400
time	$\langle T_2, T_3, T_4 \rangle$	3	$\langle T_2, T_3, T_4, T_1 \rangle$	0.714
ratio	$\langle T_2, T_4, T_3 \rangle$	3	$\langle T_2, T_4, T_3, T_1 \rangle$	0.743

	R_1	R_2	R_3	R_4	R_5	Execution Time
<i>T</i> ₁	\checkmark	\checkmark	\checkmark	\checkmark		4
<i>T</i> ₂			\checkmark	\checkmark		1
<i>T</i> ₃		\checkmark				1
T_4	\checkmark				\checkmark	1

Greedy-by	T_r	$time(T_r)$	T_p	CE
coverage	$\langle T_1, T_4 \rangle$	5	$\langle T_1, T_4, T_2, T_3 \rangle$	0.400
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Greedy Choices Impact Effectiveness

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<i>T</i> ₁	\checkmark	\checkmark	\checkmark	\checkmark		4
<i>T</i> ₂			\checkmark	\checkmark		1
<i>T</i> ₃		\checkmark				1
T_4	\checkmark				\checkmark	1

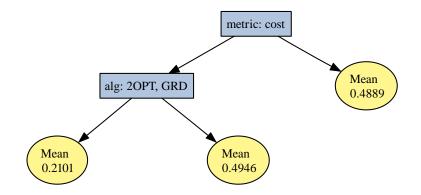
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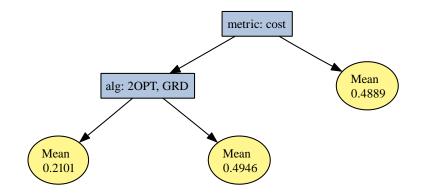
Analysis Method: Tree Models



Tree Models: Use recursive partitioning to create hierarchical view of data



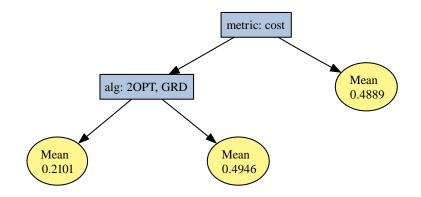
Analysis Method: Tree Models



Explanatory Variable: Configuration of the testing methods (e.g., GCM)



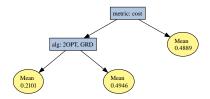
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Response Variable: One of the evaluation metrics (e.g., CE or RFFT)

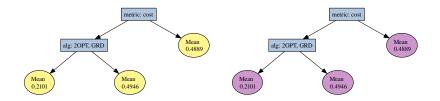


Analysis Method: Random Forests



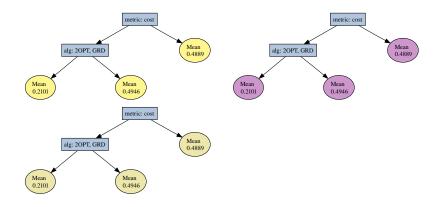


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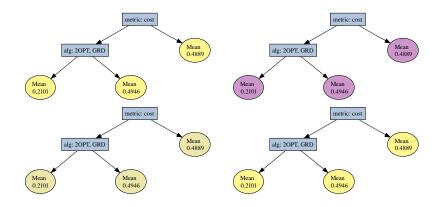


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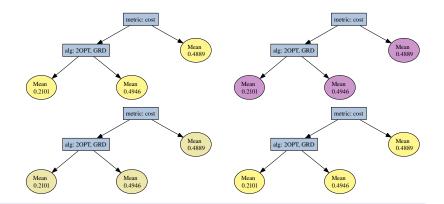


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Analysis Method: Random Forests



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Many Trees: Randomly construct a large collection of trees in order to avoid bias and identify the most important explanatory variables

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Name	<i>T</i>	$ \mathcal{R}(T) $	CCN	NCSS
DS	110	40	1.35	1243.00
GB	51	88	2.60	1455.00
JD	54	783	1.64	2716.00
LF	13	6	1.40	215.00
RM	13	19	2.13	569.00
SK	27	117	2.00	628.00
TM	27	46	2.21	748.00
RP	76	221	2.65	6822.00

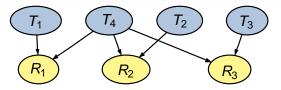
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Do the **greedy** reducers and prioritizers efficiently identify test suites that **improve** effectiveness? What are the fundamental **trade-offs**?

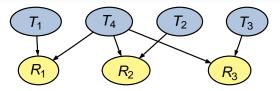
Revision: 12 "Greedy Fooling" Coverage Generation



Generation Procedure

- The greedy test prioritizer iteratively selects test cases according to the (coverage / cost) ratio
- **Goal**: generate coverage and timing information that will **fool** the greedy technique into creating $T_p = \langle T_n, ..., T_1 \rangle$ even though $CE(T_p) < CE(T)$ for $T = \langle T_1, ..., T_n \rangle$
- Inspiration: Vazirani's construction of a tight example for the greedy minimal set cover algorithm

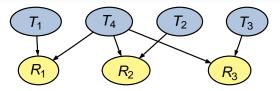
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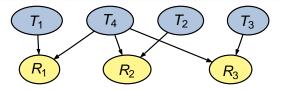
Revision: 1.2 "Greedy Fooling" Coverage Generation



Generation Procedure

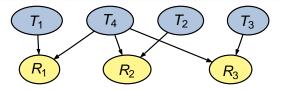
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Revision : 1.2 Constructing "Greedy Fooling" Test Suites



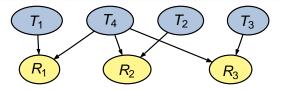
- Approach: use one dimensional optimization (e.g., golden section search and successive parabolic interpolation) to pick a value for cost(T_n)
- **Construction**: set $cost(T_1) = cost(T_2) = cost(T_3) = 1$ and then determine the bounds for $cost(T_4) \in [C_{min}, C_{max}]$
- **Example**: $cost(T_4) \in [2.138803, 2.472136]$ so that $CE_{min}(T_p) = .5838004$ $CE_{min}(T) = .6108033$ $CE_{max}(T_p) = .5482172$ $CE_{max}(T) = .6345125$

Revision : 1.2 Constructing "Greedy Fooling" Test Suites



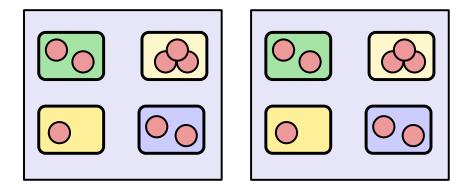
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- **Construction**: set $cost(T_1) = cost(T_2) = cost(T_3) = 1$ and then determine the bounds for $cost(T_4) \in [C_{min}, C_{max}]$
- **Example**: $cost(T_4) \in [2.138803, 2.472136]$ so that $CE_{min}(T_p) = .5838004$ $CE_{min}(T) = .6108033$ $CE_{max}(T_p) = .5482172$ $CE_{max}(T) = .6345125$

Revision : 1.2 Constructing "Greedy Fooling" Test Suites



- Approach: use one dimensional optimization (e.g., golden section search and successive parabolic interpolation) to pick a value for cost(T_n)
- Construction: set $cost(T_1) = cost(T_2) = cost(T_3) = 1$ and then determine the bounds for $cost(T_4) \in [C_{min}, C_{max}]$
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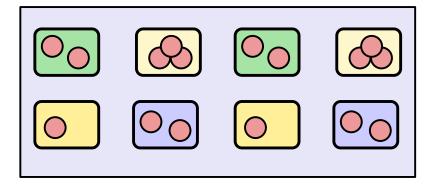
Constructing Build/Test Machine Suites



Objective: Simulate test suite execution on a centralized server



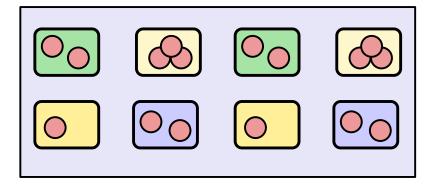
Constructing Build/Test Machine Suites



Objective: Simulate test suite execution on a centralized server



Constructing Build/Test Machine Suites



Construction: Combine all of the test suites and coverage reports



Random Number	Input	Output
$\{1, 2, 3, 4\}$ 2	$\langle t_1, t_2, t_3, t_4\rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
$\{1, 2, 3\}$ 3	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
{1,2} 1	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_4, t_1, t_3, t_2 \rangle$

Random Number	Input	Output
$\{1, 2, 3, 4\}$ 2	$\langle t_1, t_2, t_3, t_4\rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
$\{1, 2, 3\}$ 3	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
{1,2} 1	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_4, t_1, t_3, t_2 \rangle$

Practical Suggestions for Improving and , Empirically Studying Greedy Test Suite , Reduction and Prioritization Methods

Random Number	Input	Output
$\{1, 2, 3, 4\}$ 2	$\langle t_1, t_2, t_3, t_4\rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
$\{1, 2, 3\}$ 3	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
{1,2} 1	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_4, t_1, t_3, t_2 \rangle$

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Random Test Suite Prioritization

Random Number	Input	Output
$\{1, 2, 3, 4\}$ 2	$\langle t_1, t_2, t_3, t_4\rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
$\{1, 2, 3\}$ 3	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
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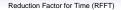
Random Number	Input	Output
$\{1, 2, 3, 4\}$ 2	$\langle t_1, t_2, t_3, t_4\rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
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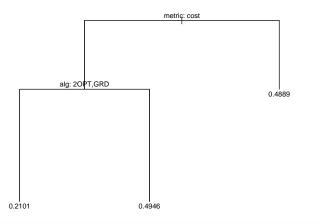
Importance: Random prioritization serves as a valuable experimental control and often produces orderings better than the initial suite

Random Number	Input	Output
$\{1, 2, 3, 4\}$ 2	$\langle t_1, t_2, t_3, t_4 \rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
$\{1, 2, 3\}$ 3	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_1, t_4, t_3, t_2 \rangle$
{1,2} 1	$\langle t_1, t_4, t_3, t_2 \rangle$	$\langle t_4, t_1, t_3, t_2 \rangle$

Strategy: Use the modern and efficient implementation of the Fisher-Yates shuffle to produce the reordered test suite $T_p = \langle t_4, t_1, t_3, t_2 \rangle$

Overview of RFFT Trends

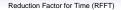


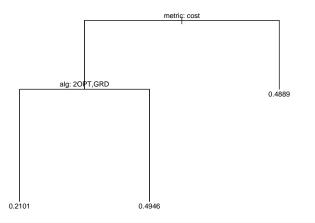


The myopic focus on cost leads to low RFFT values for 2OPT and GRD

Practical Suggestions for Improving and , Empirically Studying Greedy Test Suite , Reduction and Prioritization Methods

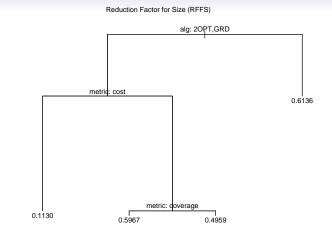
Overview of RFFT Trends





The myopic focus on **cost** leads to **low** RFFT values for 2OPT and GRD

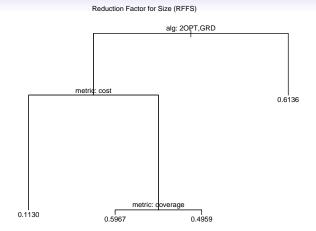
Overview of RFFS Trends



DGR and HGS are the best at creating test suites that improve RFFS

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Overview of RFFS Trends

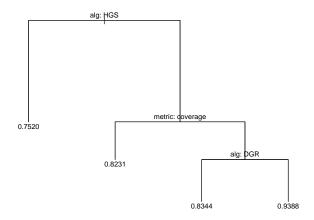


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Overview of CE Trends



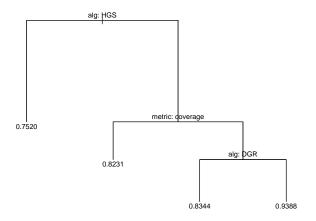


Using ratio and cost improves the CE of the prioritized test suite

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Overview of CE Trends

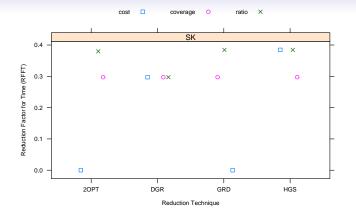




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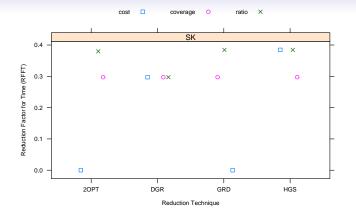
Reduction Factor for Time - SK



For 2OPT and GRD, ratio and coverage create the best test suites

Practical Suggestions for Improving and , Empirically Studying Greedy Test Suite , Reduction and Prioritization Methods

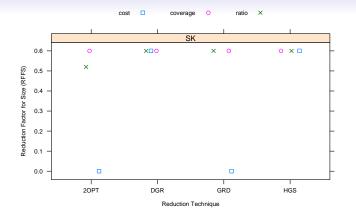
Reduction Factor for Time - SK



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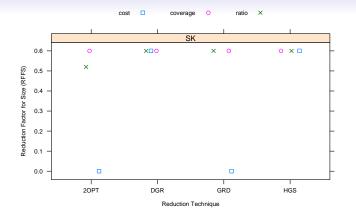
Reduction Factor for Size - SK



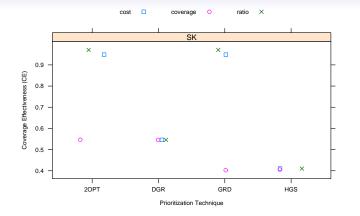
It is often easy to construct test suites with high RFFS values

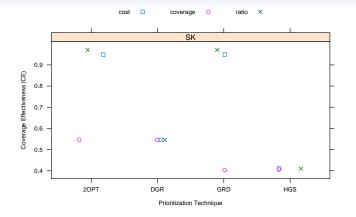
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Reduction Factor for Size - SK



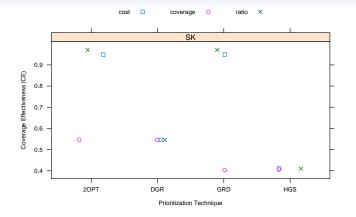
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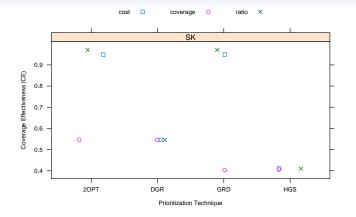
DGR and HGS exhibit lackluster performance when reordering



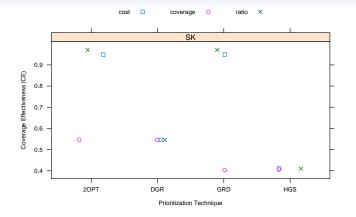


Greedily reordered test suites are better than randomly prioritized ones





Greedy fooling test suites cause GRD and DGR to make low CE suites



20PT uses lookahead and can construct high CE test prioritizations



Application	CommonRate(Υ)			
Reminder	0.700			
ReduceAndPrioritize	0.361			
Sudoku	0.571			
TransactionManager	0.450			
DataStructures	0.171			
GradeBook	0.747			
JDepend	0.606			
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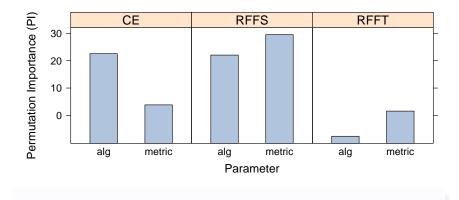
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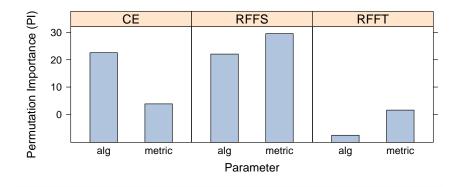
Value of the common rate is relatively stable across methods

Parameter Importance Values



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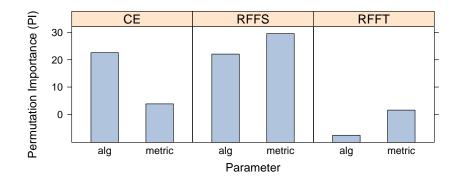
Parameter Importance Values



Algorithm choice is most important for improving the CE of ordering

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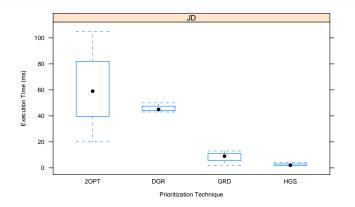
Parameter Importance Values



Greedy choice metric has the greatest impact on the test suite reducers

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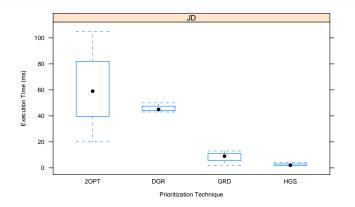
Efficiency Measurements



For the chosen case study applications, the techniques are fast

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Alternative Evaluation Metrics Like APFD



Use **mutation** and **real** faults to support the calculation of fault detection effectiveness (**FDE**) and average percentage of faults detected (**APFD**). Consider **search-based** testing methods.

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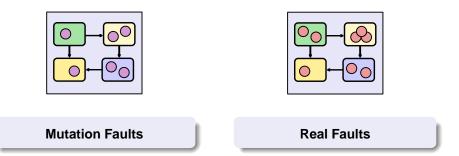
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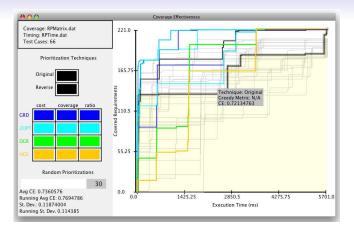
RAISE - Reduce And prlortize SuitEs

Gode	e raise Reduce And	i prioritize	e SuitEs				Search Projects
Project Home	Downloads	Wiki	Issues	Source	Administe	ər	
Summary Upd	ates						
Software develope					Star this pro	ject	
and prioritization t	· · · ·			Co	de License:	Eclipse Public	c License 1.0
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http://raise.googlecode.com/ provides tools, data sets, and resources



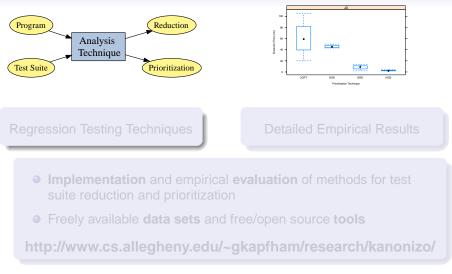
RAISE - Reduce And prlortize SuitEs



Interactive visualization methods enable testers to find best ordering

Practical Suggestions for Improving and , Empirically Studying Greedy Test Suite , Reduction and Prioritization Methods

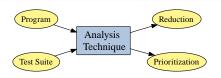
Concluding Remarks

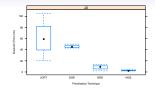


Practical Suggestions for Improving and , Empirically Studying Greedy Test Suite , Reduction and Prioritization Methods



Concluding Remarks





Regression Testing Techniques

Detailed Empirical Results

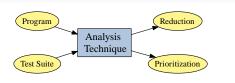
 Implementation and empirical evaluation of methods for test suite reduction and prioritization

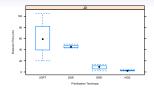
Freely available data sets and free/open source tools

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Concluding Remarks





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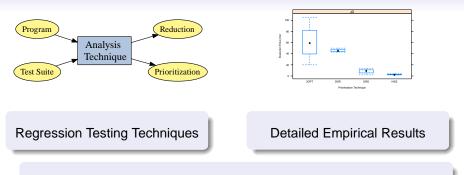
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Concluding Remarks



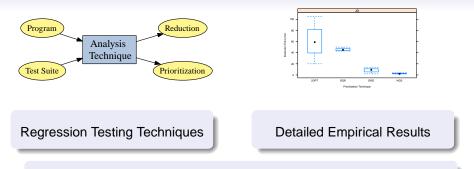
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